

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C07D 201/08</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/30973</b> <b>(43) International Publication Date:</b> 28 August 1997 (28.08.97)
<b>(21) International Application Number:</b> PCT/NL97/00056 <b>(22) International Filing Date:</b> 12 February 1997 (12.02.97) <b>(30) Priority Data:</b> 08/605,883                      23 February 1996 (23.02.96)                      US <b>(71) Applicants (for all designated States except US):</b> DSM N.V. [NL/NL]; Het Overloon 1, NL-6411 TE Heerlen (NL). E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> GUIT, Rudolf, Philippus, Maria [NL/NL]; Boviersdaal 12, NL-6228 GP Maastricht (NL). LANE, Samuel Livingston [US/US]; 6435 Durango Drive, Beaumont, TX 77708 (US). BUIJS, Wim [NL/NL]; Wolfhagen 145, NL-6365 BM Schinnen (NL). <b>(74) Agent:</b> CRAMWINCKEL, Michiel; Octrooibureau DSM, P.O. Box 9, NL-6160 MA Geleen (NL).		<b>(81) Designated States:</b> AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, HU, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, TR, TT, UA, US, UZ, VN, YU, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PROCESS TO PREPARE $\epsilon$ -CAPROLACTAM FROM 6-AMINOCAPROIC ACID <b>(57) Abstract</b>  Process to prepare $\epsilon$ -caprolactam starting from a liquid aqueous mixture containing an alcohol and 6-aminocaproic acid by cyclization of 6-aminocaproic acid in the aqueous mixture at an elevated temperature. The alcohol is separated from the starting aqueous mixture before performing the cyclization to such extent that the concentration of alcohol in the aqueous mixture during the cyclization is less than 1 wt.%. The advantages include reduced amounts of undesirable by-product.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

PROCESS TO PREPARE  $\epsilon$ -CAPROLACTAM FROM  
6-AMINOCAPROIC ACID

5

The invention relates to a process to prepare  $\epsilon$ -caprolactam starting from a liquid aqueous mixture containing an alcohol and 6-aminocaproic acid by  
10 cyclization of 6-aminocaproic acid in the aqueous mixture at an elevated temperature.

Such a process is described in U.S. Patent No. 4,730,040. This patent publication describes a process in which first methyl 5-formylvalerate is  
15 hydrolysed in an aqueous medium to methanol and 5-formylvaleric acid. In a second step, the aqueous mixture obtained in the first step is contacted with ammonia and hydrogen in the presence of a hydrogenation catalyst. In this step 6-aminocaproic acid and a small  
20 amount of  $\epsilon$ -caprolactam is obtained. The resulting aqueous mixture, which mixture will contain the methanol formed in the first step, is heated to a temperature between 150 and 370°C at which temperature 6-aminocaproic acid reacts by cyclization to  $\epsilon$ -  
25 caprolactam.

A disadvantage of this process is that the resulting  $\epsilon$ -caprolactam contains an undesirable amount of N-methyl caprolactam. It has been found that these by-products and its precursors, N-methyl 6-aminocaproic  
30 acid and N-methyl 6-aminocaproic acid amide, are especially formed when performing the cyclization reaction at the elevated temperatures. The presence of these N-substituted caprolactam by-products has a negative influence on the  $\epsilon$ -caprolactam yield and makes  
35 the resulting  $\epsilon$ -caprolactam less suitable to be used as

commercial starting material for, for example, Nylon-6 fibers. Furthermore, it is not easy to remove these N-substituted caprolactam by-products from  $\epsilon$ -caprolactam.

The object of this invention is a process in  
5 which the amount of N-substituted caprolactam by-products in the resulting  $\epsilon$ -caprolactam after cyclization are not or are practically not present.

This object is achieved in that the alcohol  
10 is separated from the starting aqueous mixture before performing the cyclization to such extent that the concentration of alcohol in the aqueous mixture during the cyclization is less than 1 wt%. Preferably, the concentration of alcohol is less than about 0.1 wt.%.

It has been found that by performing the  
15 cyclization step when practically no alcohol is present, the amount of N-substituted caprolactam in the  $\epsilon$ -caprolactam product is substantially less than when the state of the art process is used.

It was not to be expected that the presence  
20 of an alcohol during the cyclization reaction of 6-aminocaproic acid would have such a disadvantageous effect on  $\epsilon$ -caprolactam yield and quality. No mention of this fact is found in the earlier mentioned U.S. Patent No. 4,730,040. Furthermore, comparable yields  
25 to  $\epsilon$ -caprolactam starting from 6-aminocaproic acid were found in a pure alcohol solvent and a pure water solvent in an in depth study by Mares F. and Sheehan D., described in Ind. Eng. Chem. Process Des. Dev., Vol. 17, no. 1, 1978 pages 9-16. Furthermore, no  
30 mention of the N-substituted by-products were found in this article.

The aqueous starting mixture of the process according to the invention may be obtained as the reaction product of the reductive amination of 5-  
35 formylvaleric acid or the corresponding ester or, for example, as the reaction product of the reduction of 5-

cyanovaleric acid or the corresponding ester. These ester compounds can be readily converted to acid compounds by simple hydrolysis processes yielding the corresponding acid and an alcohol. For example, when  
5 the reductive amination or reduction is performed in water, this hydrolysis may take place simultaneously. The aqueous starting mixture obtained, for example, via reductive amination will generally comprise in addition to the alcohol also some ammonia.

10 Aqueous mixtures which are advantageously used in the present invention are aqueous mixtures obtained by reacting an  $C_1-C_6$  alkyl 5-formylvalerate with ammonia and hydrogen in the presence of a hydrogenation catalyst in a water solvent. By using  
15 water as solvent in this reaction the ester group of the 5-formylvalerate will hydrolyze in the same process step as in which the aldehyde group reacts to the amine group (reductive amination). Alcohol will be formed in the hydrolysis reaction and will thus be present in the  
20 resulting aqueous mixture next to optionally 6-aminocaproic acid, 6-aminocaproamide,  $\epsilon$ -caprolactam, some non-hydrolyzed  $C_1-C_6$  alkyl 6-aminocaproate and oligomers of 6-aminocaproic acid and/or 6-aminocaproamide.

25 The combined hydrolysis/reductive amination may be performed at a temperature of between 40-200°C, and preferably between 80-160°C. The molar ratio between  $C_1-C_6$  alkyl 5-formylvalerate is preferably between 3:1 and 30:1. The pressure is preferably  
30 between 0.5 and 10 MPa. The amount of hydrogen is at least equal to the molar quantity of the  $C_1-C_6$  alkyl 5-formylvalerate.

Preferably 1-15 wt.% alcohol is present in the aqueous mixture next to the  $C_1-C_6$  alkyl 5-  
35 formylvalerate. The alcohol is preferably the corresponding alcohol of the  $C_1-C_6$  alkyl ester group.

The additional alcohol improves the solubility of the C<sub>1</sub>-C<sub>6</sub> alkyl 5-formylvalerate in water.

The hydrogenation catalyst is preferably a supported or non-supported catalyst comprising a metal from Group VIII of the Periodic Table of elements, for example nickel, cobalt, ruthenium, platinum, palladium and iridium. Preferably nickel, cobalt or ruthenium is used. More preferably a ruthenium comprising catalyst is used.

The C<sub>1</sub>-C<sub>6</sub> alkyl group may be for example methyl, ethyl, propyl, iso-propyl, n-butyl, tert-butyl, iso-butyl, pentyl or cyclohexyl. Preferably methyl and ethyl groups are used.

Below, the composition of the aqueous mixture after separating the alcohol, is given, which mixture may be used as feed or starting mixture for the cyclization. The concentration of 6-aminocaproic acid in the mixture is generally between 2 and 40 wt.% and preferably between 5-30 wt.%. The aqueous mixture can also contain between 0 and 20 wt.% 6-aminocaproamide and between 0 and 2 wt.% 6-aminocaproate ester, between 0-15 wt.%  $\epsilon$ -caprolactam, and between 0 and 10 wt.% oligomers of 6-aminocaproic acid and/or 6-aminocaproamide. These compounds can also advantageously be reacted to  $\epsilon$ -caprolactam by cyclization under the same reaction conditions as are valid for 6-aminocaproic acid. If these compounds are also present, the concentration of 6-aminocaproic acid is preferably between 2-20 wt.%.

More preferably, the aqueous mixture comprises between 2-20 wt.% 6-aminocaproamide, between 2-15 wt.%  $\epsilon$ -caprolactam, between 2-15 wt.% 6-aminocaproic acid, between 1-8 wt.% oligomers, and between 60-90 wt.% water.

The total concentration of 6-aminocaproic acid,  $\epsilon$ -caprolactam, 6-aminocaproamide, 6-aminocaproate

and oligomers, if present, during cyclization is preferably between about 5 and about 50 wt.%, and more preferably between about 10 and about 35 wt.%. Most preferably, the concentration is above about 15 wt.%.

5 Higher concentration levels are advantageous because smaller process equipment can be used.

The alcohol to be separated is generally a  $C_1$ - $C_6$  alkanol such as, for example, methanol, ethanol, propanol, butanol, pentanol or hexanol, or as an  
10 aromatic alcohol, for example, phenol. When 6-aminocaproic acid is obtained starting from a 5-formylvalerate ester or 5-cyanovalerate ester, the alcohol is generally the alcohol which corresponds with the ester group of these esters. Generally, these  
15 corresponding alcohols are methanol and ethanol.

The starting aqueous mixture comprises at least about 1 wt.% of alcohol.

Separating the alcohol may be performed by any known method known to the man skilled in the art,  
20 for example, distillation or stripping, for example, steam stripping.

Preferably, the alcohol is removed by stripping the aqueous mixture with steam. In a commercial large scale process, the stripping  
25 preferably involves the continuous counter current contacting of the aqueous starting mixture with upflowing steam in a vertical positioned column, in which at the top a water/alcohol stream and at the bottom an alcohol-poor aqueous product stream is  
30 obtained. Steam stripping is advantageous because the alcohols can be removed very effectively and because a convenient concentration of the  $\epsilon$ -caprolactam precursors and  $\epsilon$ -caprolactam in resulting aqueous mixture can be obtained such that the aqueous mixture  
35 can be directly used in the cyclization. In this process, ammonia is also removed to a large extent.

The steam stripping is generally performed at a pressure between ambient pressure and about 1.0 MPa, and more preferably, at near atmospheric conditions. The pressure is not very critical, but near atmospheric conditions are preferred because less expensive process equipment is required and the steam stripping is more effective at this pressure.

The temperature for cyclization is generally between about 200 and about 350°C. Preferably, the temperature is between about 270 and about 330°C. More preferably, the temperature is higher than 280°C, because higher selectivities to  $\epsilon$ -caprolactam and thus a higher overall yield to  $\epsilon$ -caprolactam is obtained.

The pressure for cyclization is preferably between about 5.0 and about 20 Mpa. Normally, this pressure will be greater than or equal to the resulting pressure of the liquid reaction mixture and the temperature employed. The pressure is so chosen that the resulting product stream is obtained as a liquid.

Preferably, the process according to the invention is performed continuously.

The cyclization can be performed continuously in process equipment resulting in high and low rates of backmixing, for example, in a (or optionally a series of) well mixed tank reactor(s) or a tube reactor.

Preferably, the following steps are performed continuously:

- a) separating the alcohol from the aqueous starting mixture;
- b) feeding the resulting aqueous mixture to a reaction zone in which the cyclization is performed;
- c) separating  $\epsilon$ -caprolactam from the aqueous mixture leaving the reaction zone; and
- d) recycling the mixture poor in  $\epsilon$ -caprolactam obtained in step c), comprising unconverted 6-aminocaproic acid and oligomers, to the reaction



zone.

The mixture poor in  $\epsilon$ -caprolactam obtained in step c) may also contain 6-aminocaproamide and/or  $\epsilon$ -caprolactam

5           The  $\epsilon$ -caprolactam can be separated from the reaction mixture obtained by cyclization by, for example, crystallization, extraction or by distillation. Examples of possible extraction agents are methylene chloride, cyclohexane, toluene, benzene,  
10 chloroform or trichloro-ethene.

          Preferably, not all of the  $\epsilon$ -caprolactam is separated from the mixture obtained by the cyclization if the  $\epsilon$ -caprolactam is separated by distillation. It has been found that the oligomers are more easily  
15 handled when the distillation residue is mixed with some  $\epsilon$ -caprolactam. Preferably between 5 and 50 wt.%  $\epsilon$ -caprolactam is present in the residue. By performing the process according to the invention, it has been found that almost no build-up of oligomers in the  
20 circulating mixture takes place and that the overall yield to  $\epsilon$ -caprolactam of practically 100% is possible based on the 6-aminocaproic acid, 6-aminocaproamide,  $C_1$ - $C_6$  alkyl 6-aminocaproate and oligomers which may be present in the aqueous starting mixture.

25           The invention will be elucidated with the following non-restricting examples. In these examples, "mol olig" means the equivalent amount in mol  $\epsilon$ -caprolactam which potentially can be formed by that amount of oligomers. For example, one actual mol of  
30 dimer is equal to two mol oligomer because the dimer can yield potentially two mol of  $\epsilon$ -caprolactam. The following abbreviations will be used: 6ACA = 6-aminocaproic acid; 6ACAM = 6-aminocaproamide; M6AC = methyl 6-aminocaproate; 6-N-Me ACA = 6 N-methyl  
35 aminocaproic acid; and 6-N-Me ACAM = 6 N-methyl aminocaproamid .

Example I

40 grams of 5 wt% ruthenium on alumina were introduced in a 1 liter Hastelloy-C reactor. After the addition of water, the catalyst was prereduced at 140°C during 12 hours. Subsequently, an aqueous stream of 775 grams per hour, consisting of 25 wt.% methyl-5-formylvalerate, 30 wt.% ammonia and 7 wt.% methanol in water, was fed continuously to the reactor. The reactor was kept at a constant pressure of 3.0 MPa by a hydrogen stream of 10 grams per hour. The reaction was performed at 120°C. A yield of 97% to  $\epsilon$ -caprolactam, 6-aminocaproic acid, 6-aminocaproamide and oligomers (desired products), was obtained.

15 Example II

50 grams of Raney-Nickel were introduced in a 1 liter Hastelloy-C reactor. An aqueous stream of 847 grams per hour, consisting of 5 wt.% methyl-5-formylvalerate and 20 wt.% ammonia in water, was fed continuously to the reactor. The reactor was kept at a constant pressure of 1.5 MPa by a hydrogen stream of 10 grams per hour. The reaction was performed at 100°C.

The yield of desired products was 96%.

25 Examples I and II illustrate a combined hydrolysis/reductive amination of methyl-5-formylvalerate in which an aqueous mixture is obtained comprising methanol and 6-aminocaproic acid (and other precursors to  $\epsilon$ -caprolactam).

30 Example III

Methanol and ammonia were separated from a feed consisting of 5 wt.% CAP, 20.8 wt.% 6ACA, 10.0 wt.%  $\text{NH}_3$ , 0.03 wt.% oligomers, 0.1 wt.% 6 ACAM, 9.1 wt.% methanol and 55 wt.% water by feeding an Oldershaw sieve tray column (6 cm diameter and 20 trays) at atmospheric pressure at a rate of 1820 g/hr. The

reboiler in which stream was generated operated on the thermosiphoning principle. The overhead vapor was passed through two condensers arranged in series; the first was operated with cooling water (18°C) and the second with a coolant at 0°C for effective condensation of methanol. 1036 g/hr of water was added to the reboiler in order to dilute the bottoms. The methanol concentration in the bottom stream was analyzed and contained 40 ppm methanol. The bottom stream had a rate of 2475 g/hr of which 80 wt.% H<sub>2</sub>O. No CAP, 6ACA, oligomer and 6 ACAM was found in the top stream. No ammonia was analyzed in the bottoms. The bottom temperature was 100°C and the top temperature was 70°C.

#### 15 Example IV

A mixture consisting of 4.8 wt.% NH<sub>3</sub>, 6.5 wt.% methanol, 66.0 wt.% H<sub>2</sub>O and 21.7 wt.% of ε-caprolactam precursors of which 19.6 mol% 6ACA, 36.9 mol% 6 ACAM, 31.5 mol% CAP, 2.4 mol% methyl 6-aminocaproate and 9.6 mol% oligomers was continuously fed for 22 hours to the top of a steamstripper column at a rate of approximately 550 gr/hr. Steam was generated in a reboiler of the column. To the column also 350 gr/hr of fresh water was fed. In the steamstripper column the liquid product stream was thus contacted with an upflowing stream of steam. The bottom temperature in the column was kept at 100°C. The liquid bottom stream which left the steamstripper at a rate of 742 gr/hr did not contain any detectable amount of methanol and NH<sub>3</sub>. The concentration of ε-caprolactam and ε-caprolactam precursors in the liquid bottom stream was 22.1 wt.% in water (1.33 mol/hr). After 22 hours, 16.3 kg of this mixture was collected containing a total of 29.26 mol of ε-caprolactam and ε-caprolactam precursors (3.3 wt.% 6ACA, 9.3 wt.% 6ACAM, 6.9 wt.% ε-caprolactam and 2.6 wt.% oligomers).

This liquid mixture was fed continuously to a plugflow cyclization reactor at a rate of approximately 500 gr/hr and a temperature of 300°C. The cyclization was carried out at 300°C, with almost no back mixing, 10 Mpa and at a residence time of approximately 30 minutes. Temperature was held essentially constant with use of an oil bath. After cooling and depressurizing, the average composition of all the products present in the liquid aqueous stream amounted to 70.5 mol%  $\epsilon$ -caprolactam, 10.8 mol% 6ACA(M) and 18.7 mol% oligomers. No N-methyl caprolactam was detected in this mixture.

In two consecutive semicontinuous distillations, first, water was removed from the product stream and secondly, 2164 gr caprolactam (19.15 mol) was recovered from the product stream. The residue of the second distillation amounted to 1205 gr and according to the mass balance should contain a total of 10.13 mol of  $\epsilon$ -caprolactam and  $\epsilon$ -caprolactam precursors. The caprolactam yield in the first pass through the cyclization reactor was thus 65.4 mol%.

#### Example V

A liquid stream (approximately 550 gr/hr) consisting of 31 gr/hr methanol, 25 gr/hr ammonia, 330 gr/hr H<sub>2</sub>O and 164 gr/hr products of which 14.2 mol% 6ACA, 39.9 mol% 6ACAM, 33.9% CAP and 12.0 mol% oligomers was continuously fed to a steamstripper column as described in Example III. Also 350 gr/hr H<sub>2</sub>O is fed to the steamstripper column (bottom temperature is maintained at approximately 100°C). The remaining aqueous bottom stream having a rate of 742 gr/hr contained a total of 22.1 wt.% of  $\epsilon$ -caprolactam and  $\epsilon$ -caprolactam precursors (1.33 mol/hr).

This mixture was continuously fed to a plug flow cyclization reactor as in Example II. Also, 85

gr/hr (approximately 0.715 mol/hr) of a recycle distillation residue (see below) and 314 gr/hr H<sub>2</sub>O were fed to the cyclization reactor. Thus, overall 1141 gr/hr product mixture (21.8 wt.% products) was fed to the cyclization reactor (249 gr/hr  $\epsilon$ -caprolactam and  $\epsilon$ -caprolactam precursors and 892 gr/hr H<sub>2</sub>O).

The cyclization was carried out at 300°C, 10 MPa and at a residence time of approximately 30 minutes. After cooling and depressurizing, the effluent of the cyclization reactor was analyzed. The mixture consisted of 70.5 mol%  $\epsilon$ -caprolactam, 10.8 mol% 6ACA(M) and 18.7 mol% oligomers.

This cyclization mixture was continuously fed to two consecutive vacuum distillation columns. In the first column, the solvent (H<sub>2</sub>O) was removed. From the second column,  $\epsilon$ -caprolactam was recovered at a rate of 150 gr/hr (1.33 mol/hr).

The distillation residue obtained as the bottom stream in the second distillation (containing approximately a total of 0.715 mol/hr  $\epsilon$ -caprolactam and  $\epsilon$ -caprolactam precursors) was continuously recycled to the cyclization reactor (see above) at a rate of 85 gr/hr.

Thus, virtually a 100% caprolactam yield could be obtained in a continuous reductive amination and cyclization process using a steamstripper to remove methanol before the cyclization and by recycling of the distillation residue after recovering part of the  $\epsilon$ -caprolactam.

The above results were obtained 3 hours after the continuous process stabilized.

#### Comparative Example A

The starting mixture of Example II was continuously fed to the cyclization reactor at a rate of 500 gr/hr and at a temperature of 300°C without

performing the steam stripping. The cyclization was carried out in a plugflow reactor (almost no backmixing) at a constant temperature of 300°C (maintained with the use of an oil bath), a pressure of 10 MPa and at a residence time of 30 minutes. The effluent leaving the cyclization reactor was cooled down and depressurized to ambient conditions. The average composition of all the products present in the liquid aqueous stream amounted to 65.9 mol% CAP, 5.1 mol% of N-methyl caprolactam plus 6-N-Me ACA plus 6-N-Me ACAM, 10.8 mol% 6ACA(M) and 18.2 mol% oligomers.

By vacuum distillation, H<sub>2</sub>O, NH<sub>3</sub> and methanol were semicontinuously removed from this liquid aqueous mixture. From the bottom stream of the first distillation 2515 gr ε-caprolactam (22.26 mol) and 234 gr N-methyl caprolactam plus 6-N-Me ACA plus 6-N-Me ACAM (1.84 mol) were recovered as top stream product by a second vacuum distillation. In the second distillation, 1464 gr residue (bottom product) was obtained, which according to the mass-balance contained 12.3 mol equivalent monomeric products. Analysis of the residue showed that CAP, 6ACA, 6ACAM and oligomers were present.

While the invention has been described in detail and with reference to specific embodiments thereof, it will be apparent to those of ordinary skill in the art that various changes and modifications can be made therein without departing from the spirit and scope thereof.

C L A I M S

1. A process for the preparation of  $\epsilon$ -caprolactam starting from a liquid aqueous mixture containing an alcohol and 6-aminocaproic acid by cyclization of 6-aminocaproic acid in the aqueous mixture at an elevated temperature, characterized in that the alcohol is separated from the aqueous starting mixture before performing the cyclization to such extent that the concentration of alcohol in the aqueous mixture during the cyclization is less than 1 wt%.  
5
2. A process according to claim 1, characterized in that the concentration of said alcohol during the cyclization is less than about 0.1 wt.%.  
15
3. A process according to any one of claims 1-2, characterized in that the aqueous mixture during cyclization comprises the following components:  
20
  - (i) between 2 and 15 wt.% 6-aminocaproic acid,
  - (ii) between 2 and 15 wt.%  $\epsilon$ -caprolactam,
  - (iii) between 2 and 20 wt.% of 6-aminocaproamide,
  - (iv) between 1 and 8 wt.% oligomeric components, and
  - (v) between 60 and 90 wt.% water.
- 25 4. A process according to any one of claims 1-3, characterized in that said alcohol is a  $C_1$ - $C_6$  alkanol.
5. A process according any one of claims 1-4, characterized in that said aqueous starting  
30 mixture is obtained: (i) by reductive animation of 5-formylvaleric acid or 5-formylvalerate ester, or (ii) by reduction of 5-cyanovaleric acid or 5-cyanovalerate ester.
6. A process according to claim 5, chracterized in  
35 that the aqueous mixture is obtained by contacting the  $C_1$ - $C_6$  alkyl 5-formylvalerate with ammonia and

hydrogen in the presence of a hydrogenation catalyst in a water solvent.

7. A process according to claim 6, characterized in that the catalyst comprises ruthenium.
- 5 8. A process according to any one of claims 6-7, characterized in that methyl 5-formylvalerate is used.
9. A process according to any one of claims 1-8, characterized in that said separation step is a  
10 steam stripping step.
10. A process according any one of claims 1-9, said elevated temperature is between about 270°C and 330°C.
11. A process according to claim 10, wherein said  
15 temperature is higher than 280°C.
12. A process according to any one of claims 1-11, further comprising the steps of (i) feeding the liquid aqueous mixture poor in alcohol to a reaction zone for said cyclization step, (ii)  
20 separating said  $\epsilon$ -caprolactam from the effluent of the reaction to yield a  $\epsilon$ -caprolactam poor mixture comprising 6-aminocaproic acid and oligomers, and (iii) recycling said mixture poor in  $\epsilon$ -caprolactam back to said cyclization reaction zone, wherein  
25 the following said steps are performed continuously: separating said alcohol, feeding said liquid aqueous mixture poor in alcohol, separating said  $\epsilon$ -caprolactam, and recycling said mixture poor in  $\epsilon$ -caprolactam.



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/NL 97/00056

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C07D201/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 242 505 A (BASF AG) 28 October 1987 cited in the application see claim 1 ---	1
P,A	US 5 502 185 A (FUCHS EBERHARD ET AL) 26 March 1996 see the whole document ---	1-12
A	US 4 767 857 A (MERGER FRANZ ET AL) 30 August 1988 see the whole document ---	1
A	US 4 767 856 A (DOCKNER TONI ET AL) 30 August 1988 see the whole document ---	1
A	US 4 599 199 A (FUCHS HUGO) 8 July 1986 see the whole document ---	1
-/--		

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*A\* document member of the same patent family

Date of the actual completion of the international search

2 May 1997

Date of mailing of the international search report

30.05.97

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Gettins, M

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/NL 97/00056

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3 988 319 A (MARES FRANTISEK) 26 October 1976 see the whole document ---	1
A	US 3 485 821 A (SHEEHAN DESMOND) 23 December 1969 see the whole document -----	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 97/00056

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0242505 A	28-10-87	DE 3602377 A CA 1272718 A JP 62178571 A US 4730040 A	30-07-87 14-08-90 05-08-87 08-03-88
US 5502185 A	26-03-96	DE 4422610 A AU 2883995 A CA 2194189 A WO 9600722 A EP 0769004 A FI 965229 A NO 965611 A	04-01-96 25-01-96 11-01-96 11-01-96 23-04-97 27-02-97 24-02-97
US 4767857 A	30-08-88	DE 3643010 A CA 1296333 A EP 0271815 A JP 7080838 B JP 63165365 A	30-06-88 25-02-92 22-06-88 30-08-95 08-07-88
US 4767856 A	30-08-88	DE 3643011 A DE 3782811 A EP 0271817 A ES 2043636 T JP 7080837 B JP 63156767 A	30-06-88 07-01-93 22-06-88 01-01-94 30-08-95 29-06-88
US 4599199 A	08-07-86	DE 3403574 A DE 3566253 A EP 0151440 A	08-08-85 22-12-88 14-08-85
US 3988319 A	26-10-76	DE 2535689 A FR 2284596 A GB 1492322 A JP 51043780 A US 8506148 I	25-03-76 09-04-76 16-11-77 14-04-76 03-02-76
US 3485821 A	23-12-69	BE 715532 A FR 1564440 A NL 6807126 A	22-11-68 18-04-69 02-12-68